



## Shanghai & Shenzhen - Hong Kong Stock Connect

### SUBCUSTODIAN

<b>Name</b>	Citibank N.A., Hong Kong Branch
<b>Address</b>	39/F, Champion Tower, Three Garden Road, Central, Hong Kong

### ADDITIONAL INFORMATION

### SECURITIES NOTIFICATION DETAILS

<b>Instrument Type</b>	<b>Transaction</b>	<b>Securities settlement deadline (HK/SG time) for STP clients</b>
Equity	Against Payment	SD (17:00)
<b>Instrument Type</b>	<b>Transaction</b>	<b>Securities settlement deadline (HK/SG time) for Non-STP clients</b>
Equity	Against Payment	SD (16:00)

These deadlines are applicable for standard SPSA model only. For SPSA+/RDP model these deadlines are not restrictive as the trades will be settled according to single-sided settlement process if clients' instructions do not reach RBC I&TS by the required deadlines.

**Note:**

Clients should take note of the below additional requirements in associated to settlement of targeted securities as stipulated in the United States (US) government issued Executive Order (EO) 13959 – “Addressing the Threat From Securities Investments That Finance Communist Chinese Military Companies.” and any subsequent amendments as announced.

- To accept and execute the divestment or transfer of targeted securities, RBC I&TS require an Attestation from RBC I&TS client prior to accepting and executing trades involving those securities. Please contact your RBCI&TS Client Representative for further details.
- Clients should send RBCI&TS settlement instructions by 16:30 HK/SG Time SD-1 (STP) / 15:30 HK/SG Time SD-1 (Non-STP) for settlement of targeted securities.
- Additional Code Word should be included in the free-text field 70E of MT54X instructions to make the attestation and format as below:
  - Sequence B Trade Details for MT54X

- 70E::SPRO//EO13959COMP
- For instructions sent to RBCI&TS via other channels than SWIFT, e.g., Fax or ISO etc, the above mandatory information should still be provided.

For clients who have opt for SPSA+ & RDP model should note that RBCI&TS agent bank would only proceed with auto-creation of settlement instructions with the attestation in place. As a result, clients should opt for T+1 settlement for buy trades of in-scope securities and send RBCI&TS settlement instructions by the required cut-off with the additional code word as mentioned above to allow the buy trades to be settled.

**Subcustodian S.W.I.F.T. address** CITIHKHX

**Account holding details: subcustodian** RBC Investor Services Bank S.A. - sub a/c Name of Fund + Sub-Fund (if applicable)

**Account Holding Details - Depository –** RBC Investor Services Bank S.A. - sub a/c Name of Fund + Sub-Fund (if applicable)

#### CASH INSTRUCTION DETAILS (for clean cash payments)

Not applicable

#### CONTRACTUAL SETTLEMENT DATE ACCOUNTING (CSDA) POLICIES

**Equities** CSDA

**Bonds** N/A

#### PROXY VOTING

**Market status** Primary

**Restrictions** No

**Agendas supplied** Yes

**RBC I&TS deadline (business days prior to meeting)** 6

**Power of Attorney required from beneficial owner** No

**Record Date** Yes

**Shares blocked for voting** No

**Outcome of voted resolutions** Yes

available on a best efforts basis

#### CONTRACTUAL INCOME SERVICE

**Interest** Contractual Income Service is not applicable.

**Dividends** Contractual Income Service is not applicable.

#### MARKET ENTRANCE REQUIREMENTS

This is an FII market. Please contact your RBC Investor Services Client Manager before making portfolio investments.

#### DESIGNATED MARKET

Stock Connect is not a designated market.

#### MiFID II Security Interest Disclosures

**CSD Security Interests**

For information regarding any liens, security interests, or rights of set-off held by third party market participants, please refer to the relevant Global Custody Terms & Conditions in PDF file.

**Subcustodian Security Interests**

For information regarding any liens, security interests, or rights of set-off held by third party market participants, please refer to the relevant Global Custody Terms & Conditions in PDF file.

## Disclaimer

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